

# Compliance and Security

[help.fractal.com/hc/en-us/articles/39234911089933-Compliance-and-Security](https://help.fractal.com/hc/en-us/articles/39234911089933-Compliance-and-Security)

The **Compliance and Safety** submodule, available within the **Tasks** module of Fractal One, allows the creation and management of validation forms in an agile and structured way. This functionality is designed to ensure compliance with operational, safety and quality controls, applying validations both **pre-operational** (before executing the task) and **post-operational** (after executing the task).

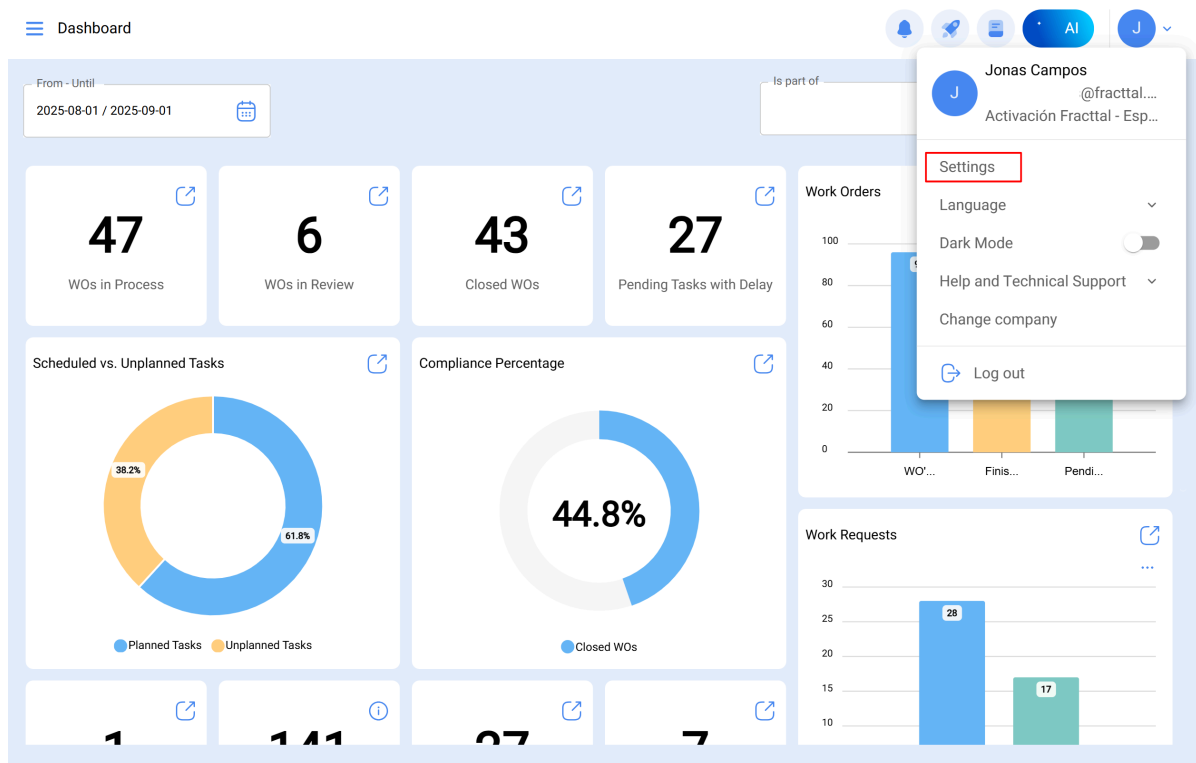
Below, we will explain how to create and configure these validation forms and how to apply the necessary activation rules for their proper use in tasks.

## Catalog Configuration

For defining the fields **Classification 1**, **Classification 2**, and **Type**, it is necessary to previously configure auxiliary catalogs:

- **Type**: the type of validation required.
- **Classification 1** and **Classification 2**: optional categories to organize the forms.

1. Enter the **Settings** module.



## 2. Select the **Auxiliary Catalogs** submodule.

The screenshot shows the 'Auxiliary Catalogs' submodule selected in the left sidebar. The main content area displays the 'Catalogue of Failures' module. The 'Type' dropdown is set to 'Catalogue of Failures'. The table has three tabs: 'Failure Types', 'Failure Causes', and 'Fault Detection'. The 'Failure Types' tab is active, showing a list of failure types with checkboxes and descriptions. The list includes: 00-ELECTRICA, Alta temperatura, ar não gela, Atascamiento, BARRERA EN FALLA, Batería con voltaje bajo, CALENTAMIENTO DE MOTOR, and CAVITATION. The status 'Showing 50 of 78' is displayed at the bottom.

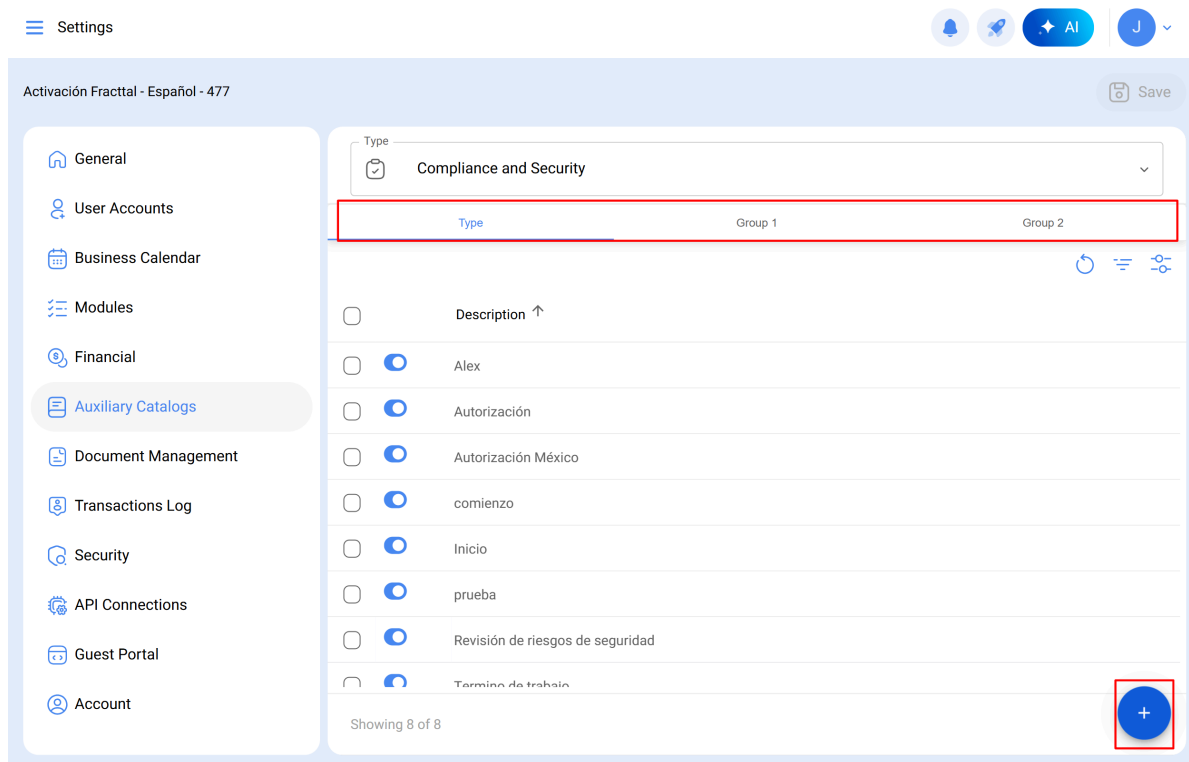
Failure Types	Failure Causes	Fault Detection
<input type="checkbox"/>	Description ↑	
<input type="checkbox"/>	00-ELECTRICA	
<input type="checkbox"/>	Alta temperatura	
<input type="checkbox"/>	ar não gela	
<input type="checkbox"/>	Atascamiento	
<input type="checkbox"/>	BARRERA EN FALLA	
<input type="checkbox"/>	Batería con voltaje bajo	
<input type="checkbox"/>	CALENTAMIENTO DE MOTOR	
<input type="checkbox"/>	CAVITATION	

## 3. Access the new **Compliance and Safety** catalog.

The screenshot shows the 'Auxiliary Catalogs' submodule selected in the left sidebar. The main content area displays the 'Compliance and Security' module. The 'Type' dropdown is set to 'Compliance and Security'. The table has three tabs: 'Type', 'Group 1', and 'Group 2'. The 'Type' tab is active, showing a list of compliance and security items with checkboxes and descriptions. The list includes: Alex, Autorización, Autorización México, comienzo, Inicio, prueba, Revisión de riesgos de seguridad, and Termina de trabajo. The status 'Showing 8 of 8' is displayed at the bottom.

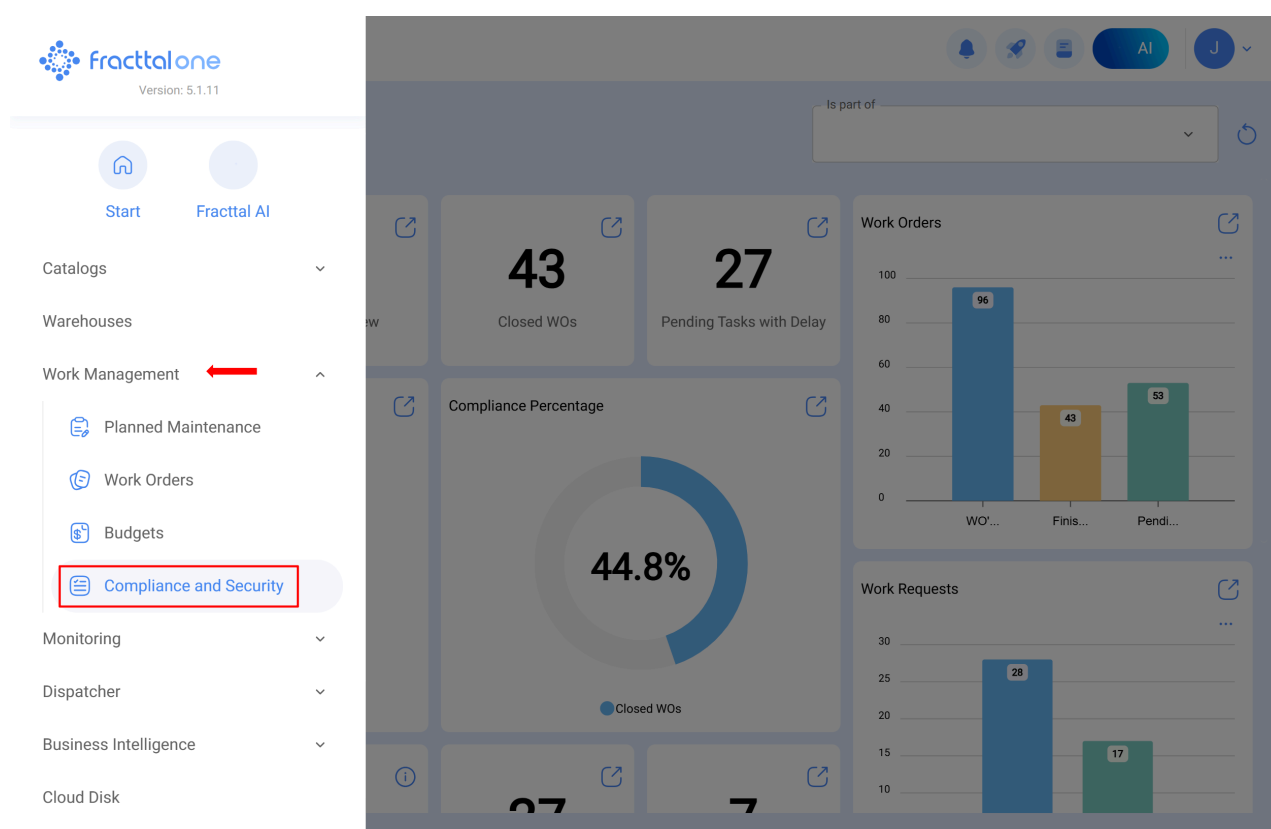
Type	Group 1	Group 2
<input type="checkbox"/>	Description ↑	
<input type="checkbox"/>	Alex	
<input type="checkbox"/>	Autorización	
<input type="checkbox"/>	Autorización México	
<input type="checkbox"/>	comienzo	
<input type="checkbox"/>	Inicio	
<input type="checkbox"/>	prueba	
<input type="checkbox"/>	Revisión de riesgos de seguridad	
<input type="checkbox"/>	Termina de trabajo	

4. Once inside the catalog overview, it is possible to add a new **type** of catalog, as well as the **Classifications 1 and 2**.



## Creating Validation Forms

To start creating forms, the user must access the **Compliance and Safety** submodule within the **Tasks** module. Once inside, they must select the **(+)** icon to start a new form.



Forms

Rules



<input type="checkbox"/>	Descrição ↑	Classificação 1	Classificação 2	Tipo
<input type="checkbox"/>	Autorización México (Fernando)	Lider 1	Lider 2	Autorización M
<input type="checkbox"/>	Autorización México (Fernando) PO...	Lider 1	Lider 2	Autorización M
<input type="checkbox"/>	Compliance & Security	Cargo 1	Lider 2	Inicio
<input type="checkbox"/>	Formulario de Inicio (Fabian)	Seguridad		Inicio
<input type="checkbox"/>	formulario de prueba 1	Lider 1	Lider 2	Alex
<input type="checkbox"/>	Formulario de Seguridad(Adalbert)			Inicio
<input type="checkbox"/>	Formulario Termino (Fabian)			Termino de tra

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During the configuration process, the user must define the following main fields of the form:

Work Management  
Compliance and Security

Forms Rules

<input type="checkbox"/>	Descrição ↑	Classificação 1	Classificação 2
<input type="checkbox"/>	Autorización México (Fernando)	Lider 1	Lider 2
<input type="checkbox"/>	Autorización México (Fernando) PO...	Lider 1	Lider 2
<input type="checkbox"/>	Compliance & Security	Cargo 1	Lider 2
<input type="checkbox"/>	Formulario de Inicio (Fabian)	Seguridad	
<input type="checkbox"/>	formulario de prueba 1	Lider 1	Lider 2
<input type="checkbox"/>	Formulario de Seguridad(Adalbert)		
<input type="checkbox"/>	Formulario Termino (Fabian)		
<input type="checkbox"/>	Prueba		
<input type="checkbox"/>	sstt		
<input type="checkbox"/>	Test 2 Dionesio	Cargo 1	Cargo 2
<input type="checkbox"/>	Test Alexander F	Seguridad	Lider 2

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Add Security and Validations

General Validations

Description

Descrição no puede estar en blanco

Type

▼

Group 1

▼

Group 2

▼

Execution Moment

▼

Momento de execução não pode ficar em branco

☐ Required

- **Description:** a brief description of the form.
- **Type:** the type of validation required.

- **Classification 1** and **Classification 2**: optional categories to organize the forms.
- **Execution Timing**: defines whether the form will be applied **pre-operational** (before the task) or **post-operational** (after the task).
- **Mandatory**: option to mark if the validation will be mandatory for the user.

The screenshot displays the 'Add Security and Validations' configuration window. The left sidebar shows a list of forms under the 'Forms' tab, with columns for 'Descrição', 'Classificação 1', and 'Classificação 2'. The right panel, titled 'Add Security and Validations', has two tabs: 'General' and 'Validations'. The 'Validations' tab is active, showing a configuration form for a validation rule. The form includes the following fields:

- Description**: Compliance & Security - 2025
- Type**: Compliance & Security (dropdown menu)
- Group 1**: Lider 1 (dropdown menu)
- Group 2**: Lider 2 (dropdown menu)
- Execution Moment**: Pre-execution (dropdown menu)
- Required**: ☒ (checkbox)

## Validation Configuration

In the **Validations** tab, the user can define the specific validations that will be required according to the type of task to be performed. The available response options include:

Work Management  
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Forms Rules

<input type="checkbox"/> Descrição ↑	Classificação 1	Classificação 2
<input type="checkbox"/> Autorización México (Fernando)	Lider 1	Lider 2
<input type="checkbox"/> Autorización México (Fernando) PO...	Lider 1	Lider 2
<input type="checkbox"/> Compliance & Security	Cargo 1	Lider 2
<input type="checkbox"/> Formulario de Inicio (Fabian)	Seguridad	
<input type="checkbox"/> formulario de prueba 1	Lider 1	Lider 2
<input type="checkbox"/> Formulario de Seguridad(Adalbert)		
<input type="checkbox"/> Formulario Termino (Fabian)		
<input type="checkbox"/> Prueba		
<input type="checkbox"/> sstt		
<input type="checkbox"/> Test 2 Dionesio	Cargo 1	Cargo 2
<input type="checkbox"/> Test Alexander F	Seguridad	Lider 2

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Add Security and Validations

General Validations

Description

Field

Verification  
Number  
Text  
Date  
Signature

+

- **Text:** field to enter free text.
- **Verification:** option to mark as verified or not verified.
- **Date:** field to enter a specific date.
- **Number:** field to enter a numeric value.
- **Signature:** field to capture the responsible person's signature.

Work Management  
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Forms Rules

Descrição ↑	Classificação 1	Classificação 2
<input type="checkbox"/> Autorización México (Fernando)	Lider 1	Lider 2
<input type="checkbox"/> Autorización México (Fernando) PO...	Lider 1	Lider 2
<input type="checkbox"/> Compliance & Security	Cargo 1	Lider 2
<input type="checkbox"/> Formulario de Inicio (Fabian)	Seguridad	
<input type="checkbox"/> formulario de prueba 1	Lider 1	Lider 2
<input type="checkbox"/> Formulario de Seguridad(Adalbert)		
<input type="checkbox"/> Formulario Termino (Fabian)		
<input type="checkbox"/> Prueba		
<input type="checkbox"/> sstt		
<input type="checkbox"/> Test 2 Dionesio	Cargo 1	Cargo 2
<input type="checkbox"/> Test Alexander F	Seguridad	Lider 2

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← Add Security and Validations

General Validations

Description

☒ Verification

Description

# Number

Description

T Text

Description

Date

Description

Signature

+

Each of these response options is adjusted to the type of validation needed to ensure compliance with regulations in each task.

Once you have completed this information, simply click the icon to save the data you have entered.

Work Management  
Compliance and Security

Forms Rules

Descrição ↑	Classificação 1	Classificação 2
<input type="checkbox"/> Autorización México (Fernando)	Lider 1	Lider 2
<input type="checkbox"/> Autorización México (Fernando) PO...	Lider 1	Lider 2
<input type="checkbox"/> Compliance & Security	Cargo 1	Lider 2
<input type="checkbox"/> Formulario de Inicio (Fabian)	Seguridad	
<input type="checkbox"/> formulario de prueba 1	Lider 1	Lider 2
<input type="checkbox"/> Formulario de Seguridad(Adalbert)		
<input type="checkbox"/> Formulario Termino (Fabian)		
<input type="checkbox"/> Prueba		
<input type="checkbox"/> sstt		
<input type="checkbox"/> Test 2 Dionesio	Cargo 1	Cargo 2
<input type="checkbox"/> Test Alexander F	Seguridad	Lider 2

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← Add Security and Validations

General Validations

Description

☒ Verification

Description

# Number

Description

T Text

Description

Date

Description

Signature

+

# Activation Rule Configuration

Once the form is created, the next step is to configure the **activation rule** according to the task requirement. This ensures that the form activates only under the previously defined conditions.

Once inside, you must select the **(+)** icon to create a new rule.

Work Management

Compliance and Security

Search...

AI

J

Forms

Rules

Description

Security and validation forms

Execution Moment

FINALIZACION DE TRASLADO

Post-execution

Rule Configuration (pre-execution)

Compliance & Security

Pre-execution

AFJ\_HS PRE OPERACION PRL

AFJ\_HS\_PRE OPERACIONES DE MTTO

Pre-execution

AFJ\_HS POST OPERACION PRL

AFJ\_HS\_POST OPERACIONES DE MTTO

Post-execution

AFJ\_PRL

AFJ\_PRL

Pre-execution

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+

Work Management

Compliance and Security

Search...

AI

J

Forms

Rules

Description

Security and validation forms

Execution Moment

FINALIZACION DE TRASLADO

Post-execution

Rule Configuration (pre-execution)

Compliance & Security

Pre-execution

AFJ\_HS PRE OPERACION PRL

AFJ\_HS\_PRE OPERACIONES DE MTTO

Pre-execution

AFJ\_HS POST OPERACION PRL

AFJ\_HS\_POST OPERACIONES DE MTTO

Post-execution

AFJ\_PRL

AFJ\_PRL

Pre-execution

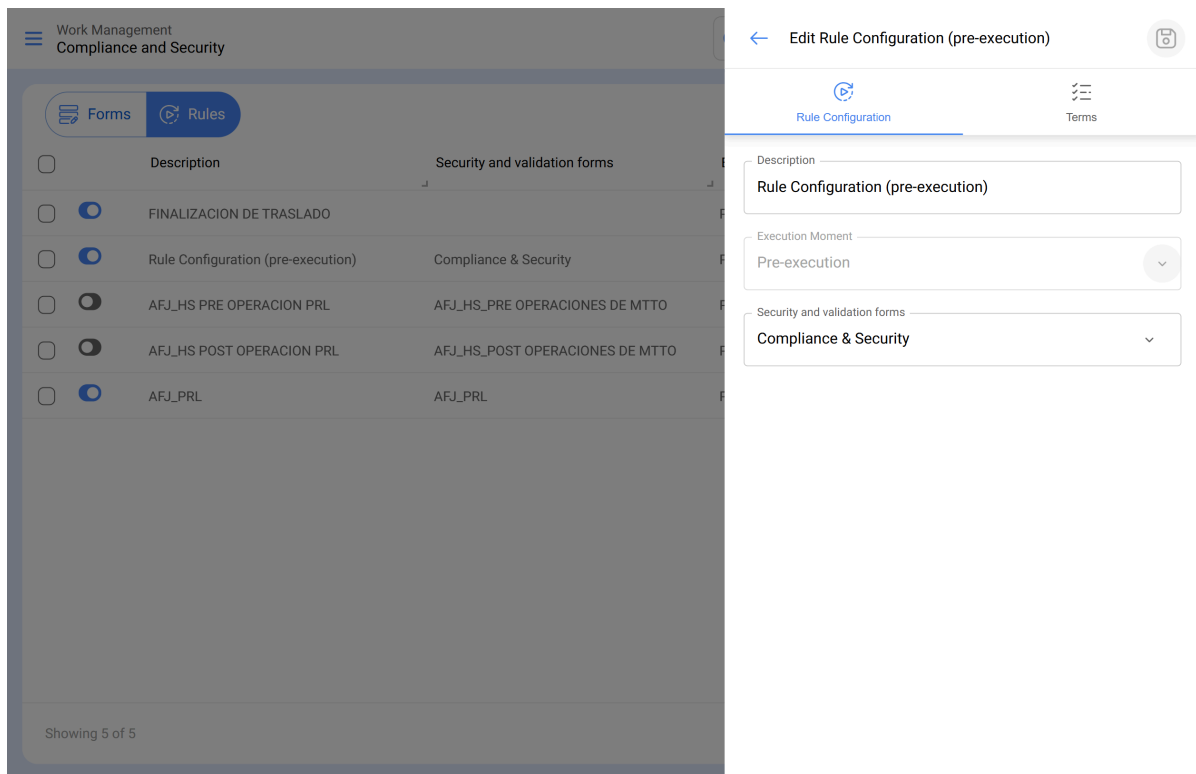
Showing 5 of 5

+

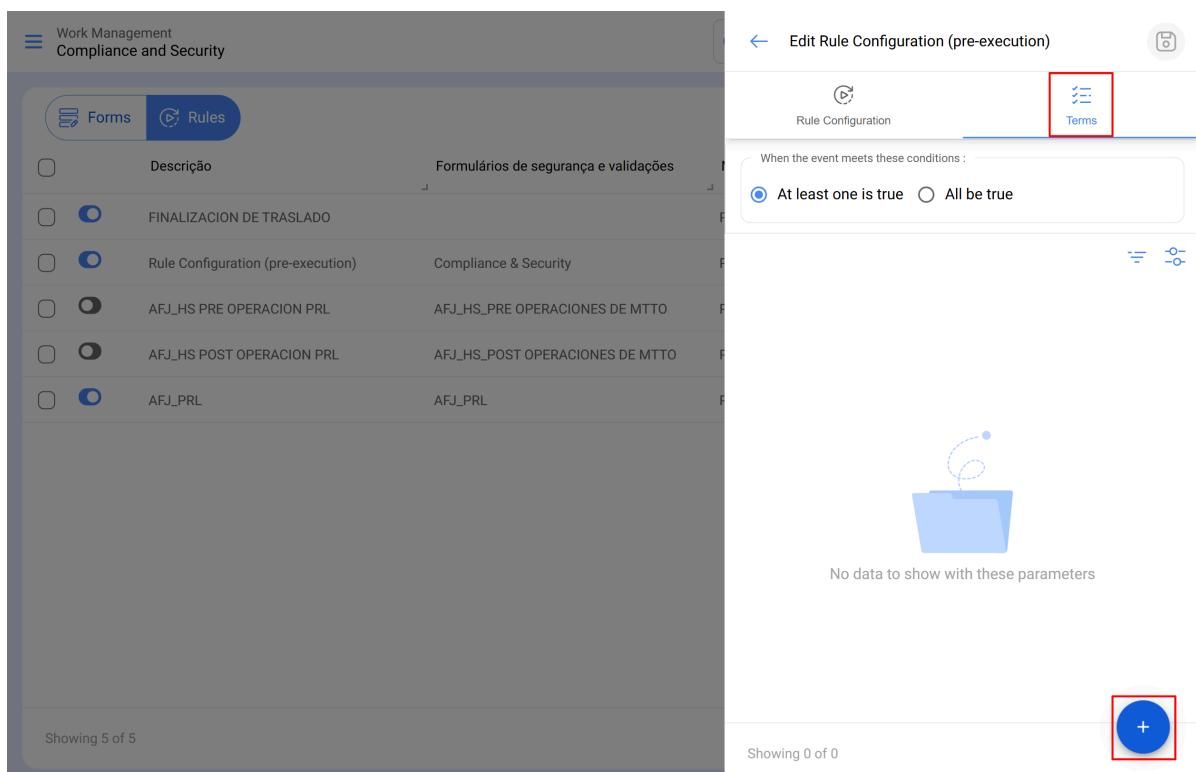


## Steps to configure the rule:

1. Define a description for the rule.
2. Select the execution timing (**Pre/Post**), which will automatically filter the forms available in that category.



3. Access the **Conditions** tab and set a condition by clicking (+).



4. This condition will determine that, when met, the form is assigned to the corresponding task.

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Compliance and Security

Forms Rules

Descrição	Formulários de segurança e validações
<input type="checkbox"/>	FINALIZACION DE TRASLADO
<input checked="" type="checkbox"/>	Rule Configuration (pre-execution) Compliance & Security
<input type="checkbox"/>	AFJ_HS PRE OPERACION PRL AFJ_HS_PRE OPERACIONES DE MTTO
<input type="checkbox"/>	AFJ_HS POST OPERACION PRL AFJ_HS_POST OPERACIONES DE MTTO
<input checked="" type="checkbox"/>	AFJ_PRL AFJ_PRL

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Add Terms

When Responsible

Operation Is equal to

Type of employee Inhouse Personnel

Responsible Jonas Campos

5. Among the available options, you can select that at least one condition must be true or that all conditions must be true to validate the rule.

Work Management  
Compliance and Security

Forms Rules

Descrição	Formulários de segurança e validações
<input type="checkbox"/>	FINALIZACION DE TRASLADO
<input checked="" type="checkbox"/>	Rule Configuration (pre-execution) Compliance & Security
<input type="checkbox"/>	AFJ_HS PRE OPERACION PRL AFJ_HS_PRE OPERACIONES DE MTTO
<input type="checkbox"/>	AFJ_HS POST OPERACION PRL AFJ_HS_POST OPERACIONES DE MTTO
<input checked="" type="checkbox"/>	AFJ_PRL AFJ_PRL

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Edit Rule Configuration (pre-execution)

Rule Configuration Terms

When the event meets these conditions :

☒ At least one is true ☐ All be true

Responsible Jonas Campos

Action Done

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This configuration ensures that **Compliance and Safety** forms are activated in a controlled manner, under previously defined criteria, allowing for more rigorous control of tasks and operational processes.

**Note:** Compliance and Security is an add-on that requires an additional subscription.