

Compliance and Security

 help.fractal.com/hc/en-us/articles/39234911089933-Compliance-and-Security

The **Compliance and Safety** submodule, available within the **Tasks** module of Fractal One, allows the creation and management of validation forms in an agile and structured way. This functionality is designed to ensure compliance with operational, safety and quality controls, applying validations both **pre-operational** (before executing the task) and **post-operational** (after executing the task).

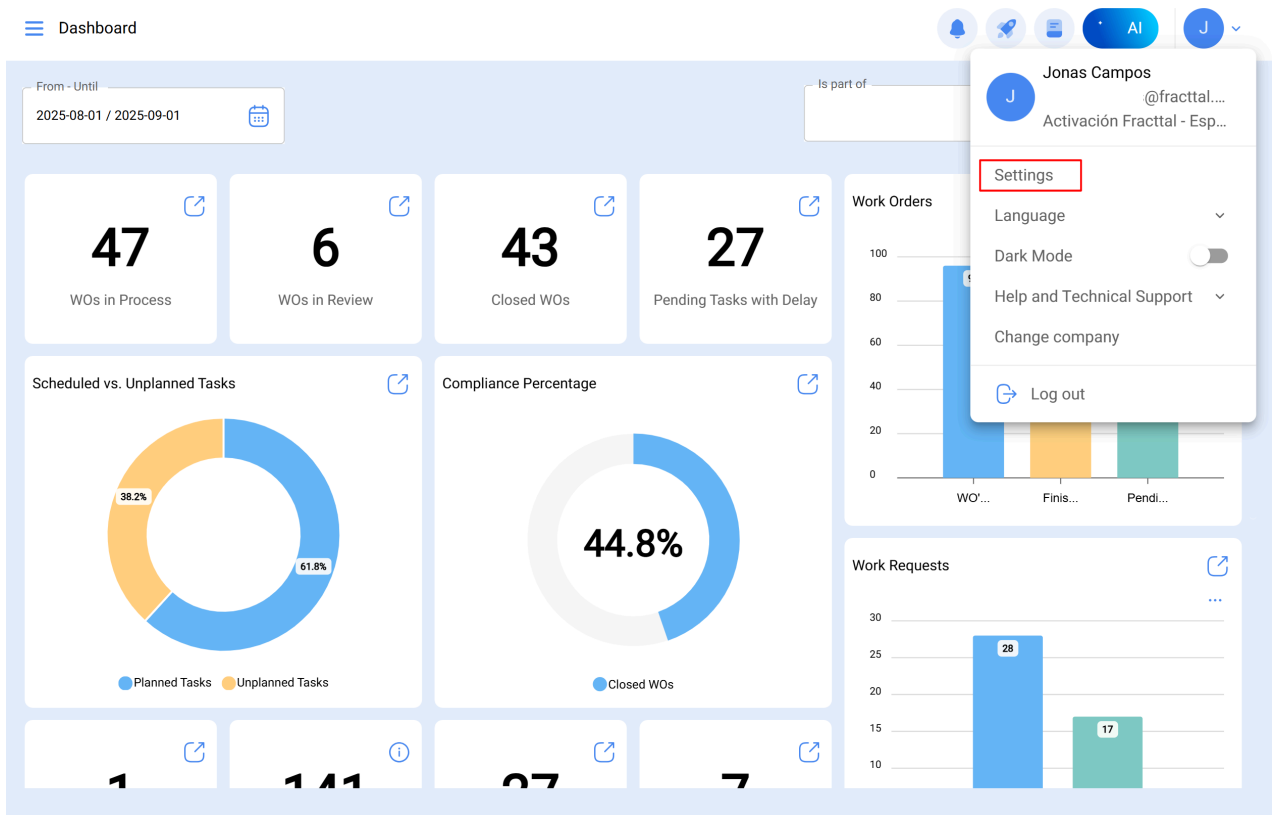
Below, we will explain how to create and configure these validation forms and how to apply the necessary activation rules for their proper use in tasks.

Catalog Configuration

For defining the fields **Classification 1**, **Classification 2**, and **Type**, it is necessary to previously configure auxiliary catalogs:

- **Type**: the type of validation required.
- **Classification 1** and **Classification 2**: optional categories to organize the forms.

1. Enter the **Settings** module.



2. Select the **Auxiliary Catalogs** submodule.

Settings

Activación Fractal - Español - 477

Save

General

User Accounts

Business Calendar

Modules

Financial

Auxiliary Catalogs

Document Management

Transactions Log

Security

API Connections

Guest Portal

Account

Type

Catalogue of Failures

Failure Types

Failure Causes

Fault Detection

Description ↑

00-ELECTRICA

Alta temperatura

ar não gela

Atascamiento

BARRERA EN FALLA

Batería con voltaje bajo

CALENTAMIENTO DE MOTOR

CAVITATION

Showing 50 of 78

+

3. Access the new **Compliance and Safety** catalog.

Settings

Activación Fractal - Español - 477

Save

General

User Accounts

Business Calendar

Modules

Financial

Auxiliary Catalogs

Document Management

Transactions Log

Security

API Connections

Guest Portal

Account

Type

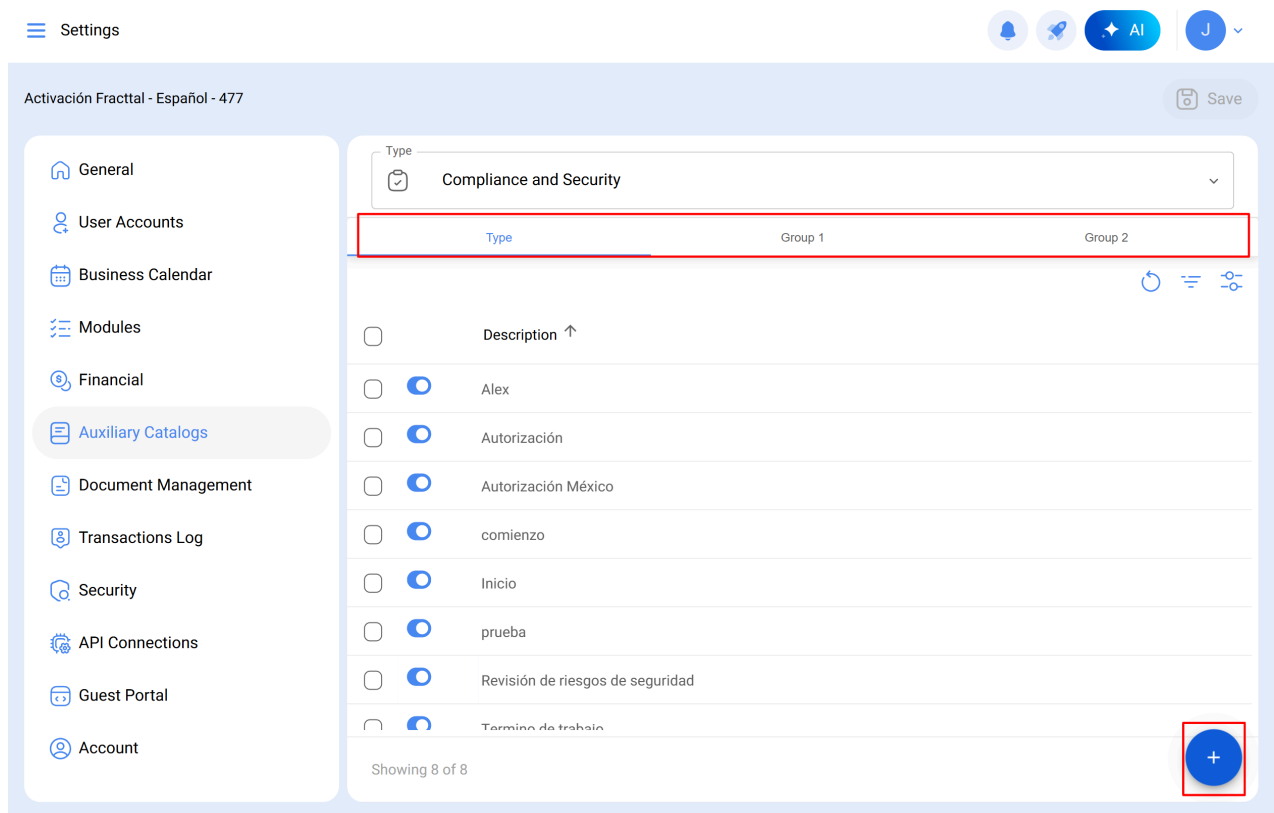
Compliance and Security

Type	Group 1	Group 2
☐	Description ↑	
☐	☒ Alex	
☐	☒ Autorización	
☐	☒ Autorización México	
☐	☒ comienzo	
☐	☒ Inicio	
☐	☒ prueba	
☐	☒ Revisión de riesgos de seguridad	
☐	☒ Termina de trabajo	

Showing 8 of 8

+

4. Once inside the catalog overview, it is possible to add a new **type** of catalog, as well as the **Classifications 1** and **2**.



Creating Validation Forms

To start creating forms, the user must access the **Compliance and Safety** submodule within the **Tasks** module. Once inside, they must select the **(+)** icon to start a new form.

Start

Fractal AI

Catalogs

Warehouses

Work Management

Planned Maintenance

Work Orders

Budgets

Compliance and Security

Monitoring

Dispatcher

Business Intelligence

Cloud Disk

Is part of

43

Closed WOs

27

Pending Tasks with Delay

44.8%

Compliance Percentage

Closed WOs

Work Orders

96

43

53

Work Requests

28

17

Work Management

Compliance and Security

Search...

AI

J

Forms

Rules

Descrição

Classificação 1

Classificação 2

Tipo

Autorización México (Fernando)

Lider 1

Lider 2

Autorización M

Autorización México (Fernando) PO...

Lider 1

Lider 2

Autorización M

Compliance & Security

Cargo 1

Lider 2

Inicio

Formulario de Inicio (Fabian)

Seguridad

Inicio

formulario de prueba 1

Lider 1

Lider 2

Alex

Formulario de Seguridad(Adalbert)

Inicio

Formulario Termino (Fabian)

Termino de tra

Showing 16 of 16

+

During the configuration process, the user must define the following main fields of the form:

Work Management

Compliance and Security

Forms

Rules

☐ Descrição ↑	Classificação 1	Classificação 2
☐ Autorización México (Fernando)	Lider 1	Lider 2
☐ Autorización México (Fernando) PO...	Lider 1	Lider 2
☐ Compliance & Security	Cargo 1	Lider 2
☐ Formulario de Inicio (Fabian)	Seguridad	
☐ formulario de prueba 1	Lider 1	Lider 2
☐ Formulario de Seguridad(Adalbert)		
☐ Formulario Termino (Fabian)		
☐ Prueba		
☐ sstt		
☐ Test 2 Dionesio	Cargo 1	Cargo 2
☐ Test Alexander F	Seguridad	Lider 2

Showing 16 of 16

Add Security and Validations

General

Validations

Description

Descrição no puede estar en blanco

Type

Group 1

Group 2

Execution Moment

Momento de execução não pode ficar em branco

☐ Required

Add Security and Validations

General

Validations

Description

Descrição no puede estar en blanco

Type

Group 1

Group 2

Execution Moment

Momento de execução não pode ficar em branco

☐ Required

- **Description:** a brief description of the form.
- **Type:** the type of validation required.
- **Classification 1** and **Classification 2:** optional categories to organize the forms.
- **Execution Timing:** defines whether the form will be applied **pre-operational** (before the task) or **post-operational** (after the task).
- **Mandatory:** option to mark if the validation will be mandatory for the user.

Work Management
Compliance and Security

Forms Rules

☐ Descrição ↑	Classificação 1	Classificação 2
☐ Autorización México (Fernando)	Lider 1	Lider 2
☐ Autorización México (Fernando) PO...	Lider 1	Lider 2
☐ Compliance & Security	Cargo 1	Lider 2
☐ Formulario de Inicio (Fabian)	Seguridad	
☐ formulario de prueba 1	Lider 1	Lider 2
☐ Formulario de Seguridad(Adalbert)		
☐ Formulario Termino (Fabian)		
☐ Prueba		
☐ sstt		
☐ Test 2 Dionesio	Cargo 1	Cargo 2
☐ Test Alexander F	Seguridad	Lider 2

Showing 16 of 16

← Add Security and Validations

General Validations

Description

Compliance & Security - 2025

Type

Compliance & Security

Group 1

Lider 1

Group 2

Lider 2

Execution Moment

Pre-execution

☒ Required

Validation Configuration

In the **Validations** tab, the user can define the specific validations that will be required according to the type of task to be performed. The available response options include:

Work Management
Compliance and Security

Forms Rules

☐ Descrição ↑	Classificação 1	Classificação 2
☐ Autorización México (Fernando)	Lider 1	Lider 2
☐ Autorización México (Fernando) PO...	Lider 1	Lider 2
☐ Compliance & Security	Cargo 1	Lider 2
☐ Formulario de Inicio (Fabian)	Seguridad	
☐ formulario de prueba 1	Lider 1	Lider 2
☐ Formulario de Seguridad(Adalbert)		
☐ Formulario Termino (Fabian)		
☐ Prueba		
☐ sstt		
☐ Test 2 Dionesio	Cargo 1	Cargo 2
☐ Test Alexander F	Seguridad	Lider 2

Showing 16 of 16

← Add Security and Validations

General Validations

Description

T Field

Verification
Number
Text
Date
Signature

+

- **Text:** field to enter free text.
- **Verification:** option to mark as verified or not verified.
- **Date:** field to enter a specific date.
- **Number:** field to enter a numeric value.
- **Signature:** field to capture the responsible person's signature.

Work Management
Compliance and Security

Forms

Rules

☐ Descrição ↑	Classificação 1	Classificação 2
☐ Autorización México (Fernando)	Lider 1	Lider 2
☐ Autorización México (Fernando) PO...	Lider 1	Lider 2
☐ Compliance & Security	Cargo 1	Lider 2
☐ Formulario de Inicio (Fabian)	Seguridad	
☐ formulario de prueba 1	Lider 1	Lider 2
☐ Formulario de Seguridad(Adalbert)		
☐ Formulario Termino (Fabian)		
☐ Prueba		
☐ sstt		
☐ Test 2 Dionesio	Cargo 1	Cargo 2
☐ Test Alexander F	Seguridad	Lider 2

Showing 16 of 16

Add Security and Validations

General

Validations

Description

☒ Verification

Description

Number

Description

Text

Description

Date

Description

Signature

Each of these response options is adjusted to the type of validation needed to ensure compliance with regulations in each task.

Note: It is possible to define more than one field of each type (text, date, signature, attachments), depending on the validation process requirements. This capability allows you to structure forms more granularly and capture the corresponding information or evidence for complex control scenarios.

Once you have completed this information, simply click the icon to save the data you have entered.

Work Management
Compliance and Security

Forms

Rules

☐ Descrição ↑	Classificação 1	Classificação 2
☐ Autorización México (Fernando)	Lider 1	Lider 2
☐ Autorización México (Fernando) PO...	Lider 1	Lider 2
☐ Compliance & Security	Cargo 1	Lider 2
☐ Formulario de Inicio (Fabian)	Seguridad	
☐ formulario de prueba 1	Lider 1	Lider 2
☐ Formulario de Seguridad(Adalbert)		
☐ Formulario Termino (Fabian)		
☐ Prueba		
☐ sstt		
☐ Test 2 Dionesio	Cargo 1	Cargo 2
☐ Test Alexander F	Seguridad	Lider 2

Showing 16 of 16

Add Security and Validations

General

Validations

Description

☒ Verification

Description

Number

Description

T Text

Description

☐ Date

Description

☐ Signature

+

Activation Rule Configuration

Once the form is created, the next step is to configure the **activation rule** according to the task requirement. This ensures that the form activates only under the previously defined conditions.

Once inside, you must select the **(+)** icon to create a new rule.



Forms

Rules



☐	Description	Security and validation forms	Execution Moment
☐	☒ FINALIZACION DE TRASLADO		Post-execution
☐	☒ Rule Configuration (pre-execution)	Compliance & Security	Pre-execution
☐	☒ AFJ_HS PRE OPERACION PRL	AFJ_HS_PRE OPERACIONES DE MTTO	Pre-execution
☐	☒ AFJ_HS POST OPERACION PRL	AFJ_HS_POST OPERACIONES DE MTTO	Post-execution
☐	☒ AFJ_PRL	AFJ_PRL	Pre-execution

Showing 5 of 5



Forms

Rules



☐	Description	Security and validation forms	Execution Moment
☐	☒ FINALIZACION DE TRASLADO		Post-execution
☐	☒ Rule Configuration (pre-execution)	Compliance & Security	Pre-execution
☐	☒ AFJ_HS PRE OPERACION PRL	AFJ_HS_PRE OPERACIONES DE MTTO	Pre-execution
☐	☒ AFJ_HS POST OPERACION PRL	AFJ_HS_POST OPERACIONES DE MTTO	Post-execution
☐	☒ AFJ_PRL	AFJ_PRL	Pre-execution

Showing 5 of 5



Steps to configure the rule:

1. Define a description for the rule.
2. Select the execution timing (**Pre/Post**), which will automatically filter the forms available in that category.

The screenshot displays the 'Edit Rule Configuration (pre-execution)' interface. The left panel shows a list of rules under the 'Rules' tab, with 'Rule Configuration (pre-execution)' selected. The right panel shows the configuration details for this rule, including the description 'Rule Configuration (pre-execution)', the execution moment 'Pre-execution', and the security and validation forms 'Compliance & Security'.

Description	Security and validation forms
FINALIZACION DE TRASLADO	
Rule Configuration (pre-execution)	Compliance & Security
AFJ_HS PRE OPERACION PRL	AFJ_HS_PRE OPERACIONES DE MTTO
AFJ_HS POST OPERACION PRL	AFJ_HS_POST OPERACIONES DE MTTO
AFJ_PRL	AFJ_PRL

Showing 5 of 5

3. Access the **Conditions** tab and set a condition by clicking (+).

The screenshot displays the 'Edit Rule Configuration (pre-execution)' interface. On the left, a sidebar shows a list of rules under the 'Rules' tab. The main panel is divided into two tabs: 'Rule Configuration' and 'Terms'. The 'Terms' tab is active and highlighted with a red box. It contains a section for defining conditions, with radio buttons for 'At least one is true' (selected) and 'All be true'. Below this, a message states 'No data to show with these parameters' next to a folder icon. At the bottom right of the main panel, a blue circular button with a white plus sign (+) is highlighted with a red box, indicating where to click to add a new condition. The footer shows 'Showing 5 of 5' for the sidebar and 'Showing 0 of 0' for the main panel.

Formulários de segurança e validações
FINALIZACION DE TRASLADO
Rule Configuration (pre-execution)
AFJ_HS_PRE OPERACION PRL
AFJ_HS_POST OPERACION PRL
AFJ_PRL

4. This condition will determine that, when met, the form is assigned to the corresponding task.

Work Management
Compliance and Security

Forms Rules

	Descrição	Formulários de segurança e validações
☐	FINALIZACION DE TRASLADO	
☐	Rule Configuration (pre-execution)	Compliance & Security
☐	AFJ_HS PRE OPERACION PRL	AFJ_HS_PRE OPERACIONES DE MTTO
☐	AFJ_HS POST OPERACION PRL	AFJ_HS_POST OPERACIONES DE MTTO
☐	AFJ_PRL	AFJ_PRL

Showing 5 of 5

← Add Terms

When Responsible

Operation Is equal to

Type of employee Inhouse Personnel

Responsible Jonas Campos

5. Among the available options, you can select that at least one condition must be true or that all conditions must be true to validate the rule.

Work Management
Compliance and Security

Forms Rules

	Descrição	Formulários de segurança e validações
☐	FINALIZACION DE TRASLADO	
☒	Rule Configuration (pre-execution)	Compliance & Security
☐	AFJ_HS PRE OPERACION PRL	AFJ_HS_PRE OPERACIONES DE MTTO
☐	AFJ_HS POST OPERACION PRL	AFJ_HS_POST OPERACIONES DE MTTO
☒	AFJ_PRL	AFJ_PRL

Showing 5 of 5

Action Done

Edit Rule Configuration (pre-execution)

Rule Configuration Terms

When the event meets these conditions :

☒ At least one is true ☐ All be true

Responsible

Jonas Campos

Showing 1 of 1

This configuration ensures that **Compliance and Safety** forms are activated in a controlled manner, under previously defined criteria, allowing for more rigorous control of tasks and operational processes.

Note: Compliance and Security is an add-on that requires an additional subscription.